

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0631-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/802,974
		Filing Date	March 17, 2004
		First Named Inventor	Sridhar Gopalakrishnan
		Art Unit	1764
		Examiner Name	John C. Douglas
Total Number of Pages in This Submission	21	Attorney Docket Number	1856-38100 (9852.0-02)

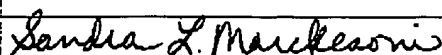
RECEIVED
CENTRAL FAX CENTER
DEC 04 2006

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (2 pgs) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (17 Pgs) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <i>Certificate of Facsimile (1 p.)</i>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	ConocoPhillips Company	
Signature		
Printed name	David W. Westphal	
Date	December 4, 2006	Reg. No. 32,673

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO at 571-273-8300 on the date shown below.	
Typed or Printed Name	Sandra L. Marckesoni
Signature	 Date December 4, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450,

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER
DEC 04 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/802,974 Confirmation No. 5079
Applicants : Sridhar Gopalakrishnan
Filed : March 17, 2004
For : Hydroprocessing Methods and Apparatus for Use in the Preparation of Liquid Hydrocarbons

TC/A.U. : 1764
Examiner : John C. Douglas

Customer No.: 31889
Atty. Dkt. No.: 1856-38100(9852)
Date: December 4, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO
NOTICE OF NON-COMPLIANT AMENDMENT DATED NOVEMBER 2, 2006

Sir:

On October 24, 2006, a response was filed to an Official Action for the above entitled application. On November 2, 2006, a Notice of Non-Compliant Amendment was issued by the US Patent Office indicating that in the section of the response relating to amendments to the claims that each claim had not been properly identified with a proper status identifier. The label "currently amended" was underlined presumably to indicate that such identifier was probably appropriate for certain claims but not used. In response to the Notice of Non-Compliant Amendment, please find attached the following claim amendments with the correct identifiers and the remarks essentially as filed in the original response. Applicants respectfully request this amendment to be considered, as Applicants believed that the amendment places the application in condition for allowance or in better condition for appeal.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 15 of this paper.

12/06/2006 AHONDAF1 00000096 161575 10802974

01 FC:1201 400.00 DA

PTO/SB/17 (07-08)

Approved for use through 3/31/2007. OMB 0851-0032
U.S. Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/04. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL For FY 2006		Application Number	10/802,974
		Filing Date	March 17, 2004
		First Named Inventor	Sridhar Gopalakrishnan
		Examiner Name	John C. Douglas
		Art Unit	1764
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	1856-38100 (9852.0-02)
TOTAL AMOUNT OF PAYMENT (\$)		400.00	

RECEIVED
CENTRAL FAX CENTER

DEC 04 2006

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **16-1575** Deposit Account Name: **ConocoPhillips Company**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
41 - 20 or HP =	0	0	0

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
5 - 3 or HP =	2	200	400

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE


If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	32,673
Name (Print/Type)	David W. Westphal	Telephone	580-767-4958
		Date	December 4, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS form, call 1-800-

BEST AVAILABLE COPY